

SECTOR  
PATENTS  
Docket Ref. No.: APF 34.20

APR 06 2001  
OIP  
PATENT & TRADEMARK OFFICE

HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX MISSING PARTS, COMMISSIONER FOR PATENTS, WASHINGTON, D.C., 20231, ON:

Date: April 4, 2001 By: Jamie O'Byrne

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Patent Application of:

SWAIN et al.

Application No.: 09/705,149

Group Art Unit: Not Yet Assigned

Filing Date: 1 November 2000

Examiner: Not Yet Assigned

Title: DNA-VACCINES BASED ON CONSTRUCTS DERIVED FROM THE GENOMES OF HUMAN AND ANIMAL PATHOGENS

**FEE TRANSMITTAL**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In reply to the Notice to File Missing Parts of Application, mailed 6 February 2001, attached is a check in the amount of \$1,558.00 for payment of the filing fees and surcharge as indicated on the Notice. No additional fees are believed to be necessary.

Authorization to charge additional fees as may be required with this reply or credit any overpayment to deposit account no. 50-0828 is given.

Respectfully submitted,

16 MARCH 2001  
Date

Thomas P. McCracken  
Thomas P. McCracken  
Reg. No. 38,548

PowderJect Technologies  
6511 Dumbarton Circle  
Fremont, CA 94555  
Telephone: 510-742-9700  
Facsimile: 510-742-9720



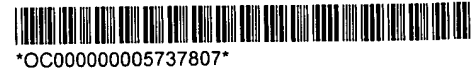
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D. C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/705,149	11/01/2000	William F. Swain	APF 34.20

Thomas P McCracken  
Powderject Technologies Inc  
6511 Dumbarton Circle  
Fremont, CA 94555

## FORMALITIES LETTER



\*OC000000005737807\*

Date Mailed: 02/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$718.
  - \$558 for 31 total claims over 20.
  - \$160 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 1558.

04/10/2001 HBERIE 00000002 09705149  
01 FC:101 710.00 OP  
02 FC:105 130.00 OP  
03 FC:103 558.00 OP  
04 FC:102 160.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE